

## Lien/Pledge Marking Request from Investor

Dear Sir(s),  Subject: Lien/Pledge of Units in favour of		Date:
Building No. 3, Western Express Highway, Goregoun (East), Mumbai 400 063, India.  Dear Sir(s),  Subject: Lien/Pledge of Units in favour of		
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Subject: Lien/Pledge of Units in favour of (Lender's Nam    (Scheme, Plan, Option to be specified) PAN    (Action of the units mentioned below:    Folio No. Scheme Units    (We request you to record the pledge/lien on the said above-mentioned units in favour of the Lender. I / We request you to consider the lien request from the lender, as final confirmation of the terms in the agreement between me and the lender. We hereby instruct you:    (We hereby instruct you:    (Not to redeem the above-mentioned units or register any transfer/transmission of the above-mentioned units unless the Lender has informed writing to lift the lien/pledge on the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulte obligations to the Lender.    (Inless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to 4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me? Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge. Shall be waited in the same manner as if such units were transferred or sold or redeemed by me'us and any such transfers, also or redemption of the the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me'us and any such transfer when the units me above by written notice to the AMC irrespective of any contrary instructions given by me'us and any such transfers do redemption of the the the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me'us and live hereby authorise the same payment to the lender by the Mutual Fund. I (Incomplete the same payment to the lender by the Mutual Fund. I (Incomplete the lender shall be v	Door	Sin(c)
(Scheme, Plan, Option to be specified) PAN  have borrowed monies and/or incurred indebtedness from		
Analysis of pledge/lien of the units mentioned below:  Folio No. Scheme Units  I / We request you to record the pledge/lien on the said above-mentioned units in favour of the Lender. I / We request you to consider the lien request from the lender, as final confirmation of the terms in the agreement between me and the lender. I / We request you to consider the lien request from the lender, as final confirmation of the terms in the agreement between me and the lender.  We hereby instruct you:  1. Not to redeem the above-mentioned units or register any transfer/transmission of the above-mentioned units unless the Lender has informed writing to lift the lien/pledge on the above-mentioned units.  2. To redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulte obligations to the Lender.  3. Unless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to 4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to mere Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units mentioned the value of the lien/pledge, shall be sent to mere shall be valid in the same manner as if such units were transfer the units mentioned above to itself or sell or redeemed men units the lender shall be valid in the same manner as if such units were transfer or sold or redeamed above by mentioned and the lien in unber of units held and ont for any particular amount as the value of units is subject to change depending upon the marke	Subj	ect: Lien/Pledge of Units in favour of (Lender's Name)
have borrowed monies and/or incurred indebtedness from	I/W	, a Unitholder of
Folio No. Scheme Units  1/ We request you to record the pledge/lien on the said above-mentioned units in favour of the Lender. I / We request you to consider the lien request from the lender, as final confirmation of the terms in the agreement between me and the lender.  We hereby instruct you:  1. Not to redeem the above-mentioned units or register any transfer/transmission of the above-mentioned units unless the Lender has informed writing to lift the lien/pledge on the above-mentioned units.  2. To redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulted obligations to the Lender.  3. Unless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to the Manual of the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the sam payment to the lender by the Mutual Fund.  6. I / We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien in number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I / We confirm to have understood that units in a closed ended scheme with fi		(Scheme, Plan, Option to be specified) PAN
Folio No. Scheme Units  1/ We request you to record the pledge/lien on the said above-mentioned units in favour of the Lender. 1/ We request you to consider the lien request from the lender, as final confirmation of the terms in the agreement between me and the lender.  We hereby instruct you:  1. Not to redeem the above-mentioned units or register any transfer/transmission of the above-mentioned units unless the Lender has informed writing to lift the lien/pledge on the above-mentioned units.  2. To redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulted obligations to the Lender.  3. Unless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to the Manual payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me/ Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the liender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the sam apayment to the lender by the Mutual Flund will constitute a valid and effectual discharge against any demand made upon the Mutual Flund.  6. I / We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien i number of units held and not for any particular amount as the value of	have	borrowed monies and/or incurred indebtedness from (the "Lender") against security by way
If We request you to record the pledge/lien on the said above-mentioned units in favour of the Lender. If We request you to consider the lien request from the lender, as final confirmation of the terms in the agreement between me and the lender.  We hereby instruct you:  1. Not to redeem the above-mentioned units or register any transfer/transmission of the above-mentioned units unless the Lender has informed writing to lift the lien/pledge on the above-mentioned units in favour of the Lender or receipt of a letter from the Lender stating that I / We have defaulted obligations to the Lender.  2. To redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulted obligations to the Lender.  3. Unless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to 4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me. Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me wis and any such rafes, sale or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me wis and any such rafes, sale or redeemption of the the the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and live hereby authorise the san payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upo	of ple	edge/lien of the units mentioned below:
We hereby instruct you:  1. Not to redeem the above-mentioned units or register any transfer/transmission of the above-mentioned units unless the Lender has informed writing to lift the lien/pledge on the above-mentioned units.  2. To redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I/We have defaulted obligations to the Lender.  3. Unless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to 4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me/Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me/us and any transfer, sale or redeemption of the the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the san payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.  6. I/We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I/We also confirm that the lien in number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I/We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund in maturity of the scheme.  The above pledge/lien shall be irrevocable until th	Folio	No. Scheme Units
1. Not to redeem the above-mentioned units or register any transfer/transmission of the above-mentioned units unless the Lender has informed writing to lift the lien/pledge on the above-mentioned units.  2. To redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulter obligations to the Lender.  3. Unless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to 4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me/ Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the 1 the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the sam payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against and wellow the manual Fund.  6. I / We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien in number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I / We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund in maturity of the scheme.  The above pledge/lien shall be irrevocable until the said credit facili		e request you to record the pledge/lien on the said above-mentioned units in favour of the Lender. I / We request you to consider the lien marking set from the lender, as final confirmation of the terms in the agreement between me and the lender.
writing to lift the lien/pledge on the above-mentioned units.  2. To redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulte obligations to the Lender.  3. Unless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to 4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me/Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the 1 the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the sam payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.  6. I / We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien in number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I / We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund in maturity of the scheme.  The above pledge/lien shall be irrevocable until the said credit facility and the payment of interest thereon due to the Lender is repaid in full and the through its authorized signatories issues a letter	We h	ereby instruct you:
obligations to the Lender.  3. Unless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to 4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me/Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the I the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the sam payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.  6. I/We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I/We also confirm that the lien in number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I/We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund to maturity of the scheme.  The above pledge/lien shall be irrevocable until the said credit facility and the payment of interest thereon due to the Lender is repaid in full and the through its authorized signatories issues a letter discharging the lien/pledge.  Thanking you,  Yours faithfully,  **Conformation of the lender has a payed to the payment of interest thereon due to the Lender is repaid in full and the discharged payed to the payment		ot to redeem the above-mentioned units or register any transfer/transmission of the above-mentioned units unless the Lender has informed you in riting to lift the lien/pledge on the above-mentioned units.
mentioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to  4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me/ Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units madove by written notice to the AMC irrespective of any contrary instructions given by me'us and any such transfer, sale or redemption of the I the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me'us and I/we hereby authorise the sam payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.  6. I /We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I /We also confirm that the lien in number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I / We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund in maturity of the scheme.  The above pledge/lien shall be irrevocable until the said credit facility and the payment of interest thereon due to the Lender is repaid in full and the through its authorized signatories issues a letter discharging the lien/pledge.  Thanking you,  Yours faithfully,  **  **Signature/s and Name/s of Unit Holder/Holders*  Date:		o redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulted on our oligations to the Lender.
Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.  5. During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units me above by written notice to the AMC irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the sam payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.  6. I / We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien in number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I / We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund in maturity of the scheme.  The above pledge/lien shall be irrevocable until the said credit facility and the payment of interest thereon due to the Lender is repaid in full and the through its authorized signatories issues a letter discharging the lien/pledge.  Thanking you,  Yours faithfully,  Signature/s and Name/s of Unit Holder/Holders  Date:  Place:  Place:		nless the Lender has informed you in writing to lift the lien/pledge on the above-mentioned units, all amounts payable on redemption of the above-entioned units should be paid to the Lender. Payments sent to the Lender will be treated in the same manner as if they were validly made to me/us.
above by written notice to the AMC irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the Uthe lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the sam payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.  6. I / We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien in number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I / We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund maturity of the scheme.  The above pledge/lien shall be irrevocable until the said credit facility and the payment of interest thereon due to the Lender is repaid in full and the through its authorized signatories issues a letter discharging the lien/pledge.  Thanking you,  Yours faithfully,  Signature/s and Name/s of Unit Holder/Holders  Date:  Place:  Place:  Place:		ll payments for dividends paid out from time to time on the above mentioned units, during the term of the lien/pledge, shall be sent to me/us only. urther, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.
6. I / We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien i number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.  7. I / We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund is maturity of the scheme.  The above pledge/lien shall be irrevocable until the said credit facility and the payment of interest thereon due to the Lender is repaid in full and the through its authorized signatories issues a letter discharging the lien/pledge.  Thanking you,  Yours faithfully,  X  Signature/s and Name/s of Unit Holder/Holders  Date:  Place:  Place:	al th	uring the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units mentioned bove by written notice to the AMC irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the Units by e lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the same. Such ayment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.
7. I / We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund to maturity of the scheme.  The above pledge/lien shall be irrevocable until the said credit facility and the payment of interest thereon due to the Lender is repaid in full and the through its authorized signatories issues a letter discharging the lien/pledge.  Thanking you,  Yours faithfully,  Signature/s and Name/s of Unit Holder/Holders  Date:		We confirm that there is no prior pledge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien is on the imber of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.
The above pledge/lien shall be irrevocable until the said credit facility and the payment of interest thereon due to the Lender is repaid in full and the through its authorized signatories issues a letter discharging the lien/pledge.  Thanking you,  Yours faithfully,  Signature/s and Name/s of Unit Holder/Holders  Date:	7. I	We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund until the
through its authorized signatories issues a letter discharging the lien/pledge.  Thanking you,  Yours faithfully,  Signature/s and Name/s of Unit Holder/Holders  Date:		·
Yours faithfully,  Signature/s and Name/s of Unit Holder/Holders  Date: Place:		
Signature/s and Name/s of Unit Holder/Holders  Date: Place:	Than	king you,
Signature/s and Name/s of Unit Holder/Holders  Date: Place:	Your	s faithfully.
Signature/s and Name/s of Unit Holder/Holders  Date: Place:		, additions,
Date: Place:	•	
ACKNOWLEDGEMENT SLID (To be Siled in but the District	Sign	ature/s and Name/s of Unit Holder/Holders
HSBC Mutual Fund  ACKNOWLEDGEMENT SLIP (To be filled in by the Distr	Date	Place:
HSBC Mutual Fund  ACKNOWLEDGEMENT SLIP (To be filled in by the Distr		
HSBC Mutual Fund  ACKNOWLEDGEMENT SLIP (To be filled in by the Distr		A OVEN COMPLETE CENTERITY OF THE CONTROL OF THE CON
	<b>(X</b>	HSBC Mutual Fund  ACKNOWLEDGEWENT SLIP (To be filled in by the Distributor)
Received from : Name	Recei	
Folio No. PAN	Folio	No. PAN
Mobile No:.  Acknowledgement Stamp & Date		Acknowledgement

Subject to further verification and furnishing of mandatory information/documents. Please retain this slip until processed

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partner.line@mutualfunds.hsbc.co.in

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